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2
3 **The effectiveness of routine physiotherapy with and without**
4 **neuromobilization in patients with shoulder impingement**
5 **syndrome**

6
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12
13 **Abstract**

14 **Objective:** The purpose of this study was to evaluate the neuromobilization
15 (NM) on the pain and active forward flexion of participants with shoulder
16 impingement syndrome (SIS).

17 **Methods:** A randomized control trial was conducted in Social Security
18 Hospital, Gujranwala. The duration of study was September 2016 to March
19 2018. A sample of 80 participants was selected and allocated in to two groups
20 using computer generator method in simple random sampling technique.

21 Consent was taken from patients with SIS for this trial. At the first session,
22 participants were randomly assigned to either control group (40) or
23 experimental group (40). After the baseline assessment routine physiotherapy
24 was executed for both groups, while NM was provided to experimental group.
25 Pain and active forward flexion (AFF) were evaluated on baseline, 5th week and
26 11th week. The data were entered and analyzed using SPSS (version 22.0).

27 **Results:** The experimental group compared with control group at 11th week had
28 lower mean pain score 2.15(1.66-2.64) vs 4.90(4.41-5.40); between group

29 difference, 1.82; 95% confidence interval (CI), -2.38 to -1.25; $P < 0.001$ and
30 Partial $\eta^2=0.33$, similarly with AFF 147.13(142.46-151.79) vs 123.45(118.79-
31 128.11); between group difference ,19.35; 95% CI,(12.86-25.83); $P < 0.001$ and
32 Partial $\eta^2=0.30$. Over all pain and AFF were improved among experimental
33 group relative to control group at 11th week.

34 **Conclusion:** In an experimental setting, the delivery of neuromobilization led to
35 significantly different outcomes in participants than in control group.

36 **Clinical Trial Number:** IRCT20190121042445N1.

37 **Keywords:** shoulder impingement syndrome, pain, rotator cuff.

38

39 **Introduction**

40 Shoulder pain is a common problem among patients seeking medical attention.
41 Correct diagnosis and therapy might be difficult due to the variety of disorders.
42 Differential diagnosis considerations of shoulder pain include cervical
43 radioculopathy, rotator cuff tears, bicipital tenosynovitis and shoulder
44 impingement syndrome (SIS) (1).

45 SIS consists of rotator cuff tendonitis and bursitis of shoulder (2). The SIS
46 involves inflammation of supraspinatus tendon between anteroinferior junction
47 of acromion and greater tuberosity of humerus. SIS is categorized by severe
48 pain that increases during overhead activities and at night sleeping on affected
49 side(3).

50 Shoulder pain especially SIS creates a substantial socioeconomic burden(4)
51 affecting quality of life(5) impacting on physical capacity through abnormal
52 movement, aberrant muscle patterning, immobility (6)and causing cognitive and
53 emotional changes(7). Several treatment approaches have been described across
54 the literature to manage this painful condition(8). One of the approaches
55 included neural tissue management, which is a physical therapy intervention
56 advocated for nerve-related musculoskeletal pain(9). Neural tissue management
57 are used on the basis of dynamic imbalance between the relative movement of

58 neural tissues and surrounding mechanical interfaces, more commonly known
59 as adverse neurodynamics, found during physical examination (9).

60 In a review, three theories projected for the local etiological origin of tendon
61 pain: 1- mechanical, 2-vascular and 3- neural(10).

62 Neuromobilization(NM) is a specific stretch training to either muscular or non-
63 muscular structures which induces collagen and cellular mechanical changes in
64 the target tissue (11). Mechanical and vascular theories are regularly used for
65 the treatment of tendon pain. The neural component is over looked due to poor
66 outcomes among patients with tendinopathy. Monica A Matocha et al.
67 highlighted neural involvement in patients with tendon pain and discussed the
68 role of NM for tendon pain(12). The utilization of NM might be important for
69 the treatment in patients who suffer with tendonopathies, which has neural
70 component (10). NM was neglected in previous studies. This study was carried
71 out to discover evidence based conservative and cost effective treatment for SIS
72 on pain and AFF. Furthermore, the aim of this study was to create awareness
73 among health professionals to have faith in physiotherapy (non operative
74 treatment) and to introduce new non invasive technique in Pakistan.

75

76 **Patients and Methods**

77 A single blinded (by assessor) randomized controlled trial was performed with
78 parallel design where participants were allocated two groups (one experimental
79 group and other control group) using equal allocation (1:1). After the approval
80 form by Institutional Review Board of University of Lahore, consent was taken
81 from participants.

82 Sample size calculation was derived from the previous research (13). Sample
83 size was calculated using the method of Kelsey and Fleiss (14) (15).

84 Where SD= Standard deviation=14.08, $Z_{1-\alpha/2}$ is type 1 error=1.96, Z_{β} =0.84 and
85 $d=\mu_2-\mu_1=10.70$.

86 Based on this a total sample size of around 80(experimental = 40, controls = 40)
87 was calculated. Total 120 patients who were attending the physiotherapy
88 department at Social Security Hospital Gujranwala were screened for eligibility
89 process from September 2016 to March 2018 which is presented in flow sheet
90 diagram-1.

91 Out of total, 80 patients fulfilled the eligibility criteria. Patients complaining of
92 shoulder pain that come positive on special tests (Neer, Hawkins-Kennedy and
93 Empty Can tests) (16) supra scapular neurodynamic test(17), painful arc test,
94 cross body adduction test (18) and age between 20-50 years was included in the
95 study. Patients with co-morbidities such as cervical radiculopathy,
96 acromioclavicular joint pathology, history of shoulder dislocation, subluxation,
97 or fracture(19), history of cervical, shoulder, or upper back surgery were
98 excluded from study. A sample of 80 participants was selected and allocated
99 into two groups using computer generator method in simple random sampling
100 technique. Out of 80, 40 patients were enrolled in experimental group and other
101 40 were selected in control group randomly. After the baseline assessment,
102 which was carried out by a physiotherapist who was having more than seven
103 years of clinical experience, routine physiotherapy was executed for both
104 groups, while NM was provided to experimental group only. Pain and shoulder
105 AFF were evaluated on baseline, 5th week and 11th week. Both treatments had
106 been performed three times per week for total fifteen sessions over 05 weeks.
107 The missing values of dropped out patients were included in the current analysis
108 by using last observation carried forward (LOCF(20). Demographic details,
109 visual analogue scale (VAS) for pain and shoulder AFF by Goniometry were
110 recorded.

111 All information and collected data was kept confidential. Participants remained
112 aware while assessor was blinded throughout the study. They were being
113 informed that there had no disadvantages or risks during the procedure of the

114 study. They were also informed that they were free to withdraw at any time
115 during the process of the study.

116 VAS was used to assess the intensity of pain. A continuous scale was used to
117 ask the patients to think about their shoulder pain during the activity and to rate
118 it by marking on a 10-mm line; it was anchored with “no pain” and the “worst
119 pain you have ever felt”. This is a well-accepted method of evaluating the pain
120 intensity levels. Studies have shown that the VAS has high reliable and valid
121 method to assess the pain.(21)

122 Shoulder AFF was measured by universal goniometer according to the
123 described procedure. Universal goniometer is a commonly used tool for
124 measuring joint range of motion by the clinicians in whole world. Shoulder AFF
125 was assessed while the patient sitting straight with his/her back tied to the chair.
126 The patients were requested to move their arm as far as possible in a standard
127 way: flexion. Patient has repeated each movement three times. An average score
128 of these three movements was used for data analysis. Before taking the
129 measurements, each patient was directed for performing shoulder flexion as far
130 as possible to minimize creep and to become familiar with the testing procedure.
131 To complete these measurements, each patient was provided with consistent and
132 same verbal instructions. Studies have reported excellent intra-rater reliability of
133 the universal goniometer for measuring shoulder AFF(22).

134 The routine Physiotherapy consisted of pulsed Short Wave Diathermy (SWD)
135 with frequency 27.12 MHZ, Ultrasonic Therapy(US) with frequency 1.0 MHZ
136 and intensity $1.45\text{w}/\text{cm}^2$ (23) and Transcutaneous Electrical Nerve Stimulator
137 (TENS) 2-200 HZ with output current $< 20\text{Ma}$ width 200μ seconds along with
138 continuous mode. Exercises comprised were shoulder strengthening and
139 stretching (24) (See Table-1).

140 NM sequencing is the performance of set of particular component body
141 movements so as to produce specific mechanical events in the nervous system.

142 NM of the nervous system was described by Maitland in 1955 Elvey in 1986
143 and referred by Butler in 1991 is an adjunct to assessment and treatment. NM is
144 a gentle movement technique used by a physiotherapist to move the nerves is
145 based on neurodynamic(9) (25).Neural gliding or sliders and tensile loading
146 techniques were used in present study.

147 Gliding techniques, or 'sliders', are NM maneuvers that attempt to produce a
148 sliding movement between neural structures and adjacent nonneural tissues, and
149 they are executed in a non-provocative fashion. The purpose of NM tensile
150 loading techniques is to restore the physical capabilities of neural tissues to
151 tolerate movements that lengthen the corresponding nerve bed.

152 The patient performed neural sliders and gradually progressed to neural
153 tensioners. Neural sliders consisted of cervical lateral flexion movement, toward
154 the involved side, simultaneously with elbow flexion and extension movements.
155 While moving the head in to cervical lateral flexion the elbow was extended.
156 When the elbow began to flex, the cervical spine was returned to neutral
157 position. Neural tensioners are performed to create tension in the nerve to get
158 the desired results. The tension position is not held for a length of time, but is
159 released by extending the elbow and returning the cervical spine to neutral, once
160 the patient had pushed slight pain or discomfort at any point (17). NM technique
161 was performed for 5 sec with 10 repetitions to control the pain.

162 Patients were assessed at baseline, after post treatment (5th week) and after 1st
163 follow up (11th week) on VAS (0 no pain 10 maximum pain) (21). Pain was
164 considered as primary outcome.

165 Shoulder AFF was assessed at baseline, on post treatment (5th week) and at 1st
166 follow up (11th week) using goniometry (26). Shoulder AFF was considered as
167 secondary outcome.

168 The data were analyzed by using SPSS 22.0 programme. Qualitative data was
169 presented in frequencies and percentages while mean and standard deviation
170 (S.D) was calculated for Quantitative data.

171 Data were analyzed at 95% confidence level and p value ≤ 0.05 was considered
172 as significant.

173 For primary and secondary outcome repeated measures ANOVA was applied to
174 calculate the average pain scores at different times (baseline, 5th week, 11th
175 week) between groups. Similarly for secondary outcome repeated measures
176 ANOVA was applied to compare the average shoulder AFF score at different
177 time points (baseline, 5th week, 11th week).

178

179 **Results**

180 Baseline characteristics are reported in table 2. Demographic profile showed
181 that most of the patients suffering from SIS are female, who are 32 in
182 experimental group and 26 in control group. It is also observed that mostly
183 patients falling in type -1 Neer classification.

184 The results of primary and secondary outcome are reported in table 3.

185 The experimental group compared with control group at 11th week had lower
186 mean pain score 2.15 ± 1.54 (1.66-2.64) vs 4.90 ± 1.58 (4.41-5.40); between group
187 difference, 1.82; 95% confidence interval (CI), -2.38 to -1.25; $P < 0.001$ and
188 Partial $\eta^2=0.33$.

189 Similarly experimental group compared with control group at 11th week had
190 higher shoulder AFF 147.13 ± 15.25 (142.46-151.79) vs 123.45 ± 14.35 (118.79-
191 128.11); between group difference, 19.35; 95%CI, (12.86-25.83); $P < 0.001$ and
192 Partial $\eta^2=0.30$. Over all pain and shoulder AFF were improved among
193 experimental group relative to control group at 11th week.

194

195 **Discussion**

196 The results of the present study demonstrated statistically significant differences
197 in pain and AFF scores between the two groups of patients with SIS at 5th week
198 and at 1st follow up (11th week). However, there was greater improvement in
199 the experimental group compared to the control group. The findings of this

200 study strengthen the fact that NM has beneficial effects for the reduction of pain
201 and improvement in shoulder AFF. The findings of the study of Ganesh et al.
202 proved NM was effective in reducing pain and improving shoulder AFF (27).

203 Previous studies assessing the NM techniques did not clearly indicate this type
204 of management for SIS, however our results showed that there is significant
205 difference in NM group as compare to routine physiotherapy group.

206 The results of current study found to be similar to those of Matocha et al who
207 found that pain intensity decreased as decreased in our study on 5th and 11th
208 week (28).

209 Neural mobilization is no more effective (or better) than other forms of
210 intervention to reduce nerve-related chronic musculoskeletal pain. But on the
211 flip side of the coin, this might also suggest that neural mobilization is not
212 worse than other forms of intervention, for example, ultrasound (29) mechanical
213 traction (30) or joint mobilization (31) in the treatment of nerve-related chronic
214 musculoskeletal pain. In fact, it is noteworthy that the 95% CI result indicated
215 that the direction of summary estimate tends to favour neural tissue
216 mobilization. The lack of significance in disability between NM and other forms
217 of intervention might likely be due to the small number of studies pooled; such
218 that the meta-analysis was under-powered to detect any true effect(32).The
219 reason for tissue repair is being observed in the study of Lederman E et al. In his
220 study it is observed that normal tissue regeneration and remodeling depend on
221 mechanical stimulation of nerve during the repair. This might help to enhance
222 the tissue's overall mechanical and physical behaviors, such as tensile strength
223 and flexibility. Soft tissue NM techniques have stimulated the more superficial
224 level of proprioception, whereas the manual techniques of joint movement,
225 stretching or deep kneading would stimulate the deep level of proprioception
226 (33).

227 Different neuromuscular responses (like hypoalgesia, motorneuron pool
228 activity, afferent discharge and changes in the activity of muscle) indirectly

229 associated with manual therapy indicates the spinal cord mediated effect of the
230 manual therapy. Hypoalgesia following NM might also occur due to its effect
231 mediated through spinal cord (34).

232 Recognizing the close relationship between physical capacities and life style, it
233 is likely that implementation of effective NM treatment as standard part for SIS
234 would decrease shoulder pain and improve AFF. This study showed that NM is
235 feasible part of the treatment, as it also has a large effect size and is time
236 efficient.

237 SIS patients suffer from many challenges, it is important to recognize that their
238 shoulder pain and AFF constitutes an important part of overall health and daily
239 tasks. Since SIS are known to be important key factor for daily life activities in
240 term of pain and AFF. Importantly, this study, as well as NM regimes is feasible
241 and safe to carry out within this patient group. The participants included are
242 recruited from a single hospital. They may have specific demographic and
243 clinical characteristics which might limit the generalization of the results. Lack
244 of placebo group, multiple neurophysiological effects related to NM are also
245 associated to non specific effects like placebo (34).

246 It is recommended to clinicians on the basis of published data summaries of
247 research focusing on treatment of shoulder pain, it seemed that exercise therapy
248 (home exercises with regular therapist follow up) is not enough to treat chronic
249 shoulder pain and it is necessary to combine with other modalities to obtain the
250 best results (35). To effectively manage a patient with SIS, the physical
251 interventions need to address the multiple aspects of the presenting clinical
252 problem.

253

254 **Conclusion**

255 In an experimental setting, the delivery of neuromobilization led to significantly
256 different outcomes in participants than in control group.

257

258 **Disclaimer:** The abstract of this study did not present or published in any
259 conference. It is a part of my PhD thesis.

260 **Conflict of Interest:** Professor Dr. Amir Gilani is the Dean of Faculty of Allied
261 Health Sciences and Chairmen Ethical Review Committee. He is also co-author
262 of my article.

263 **Funding Disclosure:** There is no funding source of this study.

264

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358 -----

359 **Abbreviations**

- 360 1) SIS (Shoulder Impingement Syndrome)
- 361 2) VAS (Visual Analogue Scale)
- 362 3) ROM (Range Of Motion)
- 363 4) SWD (Short Wave Diathermy)
- 364 5) US (Ultra Sonic)
- 365 6) TENS (Transcutaneous Electrical Nerve Stimulation)
- 366 7) NM (Neuromobilization)
- 367 8) ANOVA (Analysis of Variance)
- 368 9) A.C Joint (Acromio Clavicular Joint)
- 369 10) S.C Joint (Sterno Clavicular Joint)
- 370 11) S.D (Standard Deviation)
- 371 12) C.I(Confidence Interval)
- 372 13) AFF(Active Forward Flexion)

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376 **Table 1: List of exercises performed under experimental and routine**
 377 **physiotherapy group.**

Experimental group (stretching and strengthening exercises + Neuromobilization)	Routine physiotherapy group (Stretching and strengthening exercises)
1) STRETCHING EXERCISES a) Shoulder external rotation stretch b) Cross body posterior stretch c) Stretch for anterior aspect of shoulder d) Shoulder flexion stretch 2) STRENGTHING EXERCISES a) Chair press b) Restricted scapular retraction c) Restricted scapular protraction d) Shoulder abduction "Scaption" (0°-90°) with theraband e) Shoulder scapular extension with theraband 3) NEUROMOBILIZATION EXERCISES a) Neural slider technique b) Neural tensioner technique	1) STRETCHING EXERCISES a) Shoulder external rotation stretch b) Cross body posterior stretch c) Stretch for anterior aspect of shoulder d) Shoulder flexion stretch 2) STRENGTHING EXERCISES a) Chair press b) Restricted scapular retraction c) Restricted scapular protraction d) Shoulder abduction "Scaption" (0°-90°) with theraband e) Shoulder scapular extension with theraband

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381 **Table 2: Demographic detail**

VARIABLE		EXPERIMENTAL GROUP (N=40)	CONTROL GROUP (N=40)
Age, (Mean±S.D)	Years	36.38±8.93	34.40±9.32
Gender, N(%)	Male	8(20%)	14(32.4)
	Female	32(80%)	26(65%)
Neer Test, N(%)	Type 1: Pain at 90°	34(85.0%)	38(95.0%)
	Type 2: Pain at 60°-70°	6(15.0%)	2(5.0%)

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385 **Table 3: Comparison of experimental and control groups**

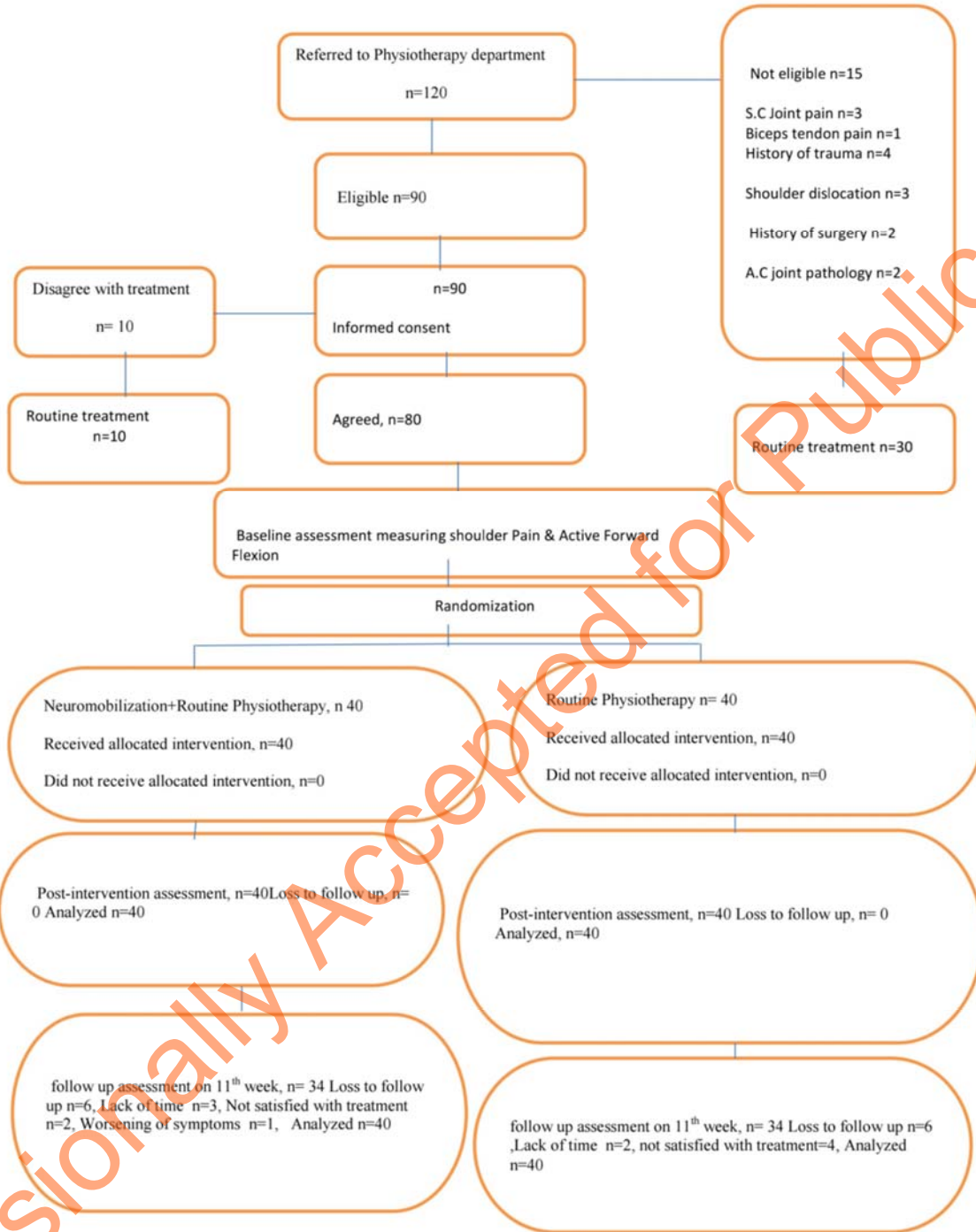
Outcome Measures		Mean±S.D (95% CI) Within group Comparison		Mean Difference (95% CI) of Between group (Experimental vs Control)	Partial η^2	P-value
		Experimental group	Control group			
Pain Assessment	Baseline	6.96±1.27 (6.60-7.30)	6.78±1.05 (6.42-7.13)	1.82 (-2.38 to-1.25)	0.34	<0.001
	5 th week	2.15±1.86 (1.60-2.71)	5.03±1.80 (4.46-5.59)			
	11 th week	2.15±1.54 (1.66-2.64)	4.90±1.58 (4.41-5.40)			
Shoulder Active Forward Flexion	Baseline	124±18.74 (118.53-129.47)	111.5±15.89 (106.03-116.97)	19.35 (12.86-25.83)	0.31	<0.001
	5 th week	142.43±13.58 (137.87-146.98)	120.55±15.31 (116-125.11)			
	11 th week	147.13±15.25 (142.46-151.79)	123.45±14.35 (118.79-128.11)			

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Provisionally Accepted for Publication



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Figure-1: Flow sheet diagram

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